

3628  
#7  
1-23.03



Rev. 04/01

Docket No. GTX-001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Marvin T. Ling  
Application No.: 09/553,695 Confirmation No.: 6472  
Filed : April 21, 2000  
For : METHOD AND APPARATUS FOR CONDUCTING  
ELECTRONIC COMMERCE TRANSACTIONS USING  
ELECTRONIC TOKENS  
Group Art Unit : 3628

RECEIVED  
JAN 21 2003  
GROUP 3600

Hon. Commissioner  
for Patents  
c/o P.O. Box 2327  
Arlington, VA 22202

TRANSMITTAL LETTER FOR  
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is a Supplemental Information Disclosure Statement in the above-identified application. This Statement is submitted more than three months from the application filing date and after the mailing date of the first Office Action on the merits, but before the mailing date of either a final action under 37 C.F.R. § 1.113, or a notice of allowance under 37 C.F.R. § 1.311.

In accordance with 37 C.F.R. § 1.97, this Statement is accompanied by:

[X] a certification as required under 37 C.F.R. § 1.97(e)(1) or § 1.97(e)(2);

[ ] the fee as set forth in 37 C.F.R. § 1.17(p).

The Director is hereby authorized to charge payment of any additional fees required in connection with the accompanying Information Disclosure Statement, or credit any overpayment, to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,



Douglas A. Oguss  
Registration No. 48,469  
Agent for Applicant

I hereby Certify that this Correspondence is being Deposited with the U.S. Postal Service as First Class Mail in an Envelope Addressed to:  
HON. COMMISSIONER FOR PATENTS,  
Washington, D.C. 20231 on:

1/14/03  
Date of Deposit

M-K  
Matt Carretto

1/14/03  
Date of Signature

FISH & NEAVE  
1251 Avenue of the Americas  
New York, New York 10020-1104  
Tel.: (650) 617-4000



PATENTS  
GTX-001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

JAN 21 2003

GROUP 3600

Applicant : Marvin T. Ling  
Application No.: 09/553,695 Confirmation No.: 6472  
Filed : April 21, 2000  
For : METHOD AND APPARATUS FOR CONDUCTING  
ELECTRONIC COMMERCE TRANSACTIONS USING  
ELECTRONIC TOKENS  
Group Art Unit : 3628

Hon. Commissioner for Patents  
c/o P.O. Box 2327  
Arlington, VA 22202

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98,  
applicant hereby makes the following documents of record in  
the above identified application: \*

U.S. Patents

6,236,972	05/2001	Shkedy
5,982,891	11/1999	Ginter et al.

Other Documents

Wayner, Peter, Digital Cash, 2nd Edition: Commerce on the Net, Chapter 22 "Magic Money:" pp. 241-248, AP Professional, London (1997).

---

\* Applicant reserves the right to challenge the status of any of the cited documents as prior art.

Copies of the aforementioned documents, which are listed on the accompanying form PTO-1449 (submitted in duplicate), are enclosed herewith.

It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent that may issue on this application. Applicant requests that a copy of Form PTO-1449 (submitted in duplicate herewith), as considered and initialed by the Examiner, be returned with the next communication.

An early and favorable action is respectfully requested.


I hereby Certify that this  
Correspondence is being  
Deposited with the U.S.  
Postal Service as First  
Class Mail in an Envelope  
Addressed to:  
BOX, COMMISSIONER FOR PATENTS,  
Washington, D.C. 20231 on:

1/14/03  
Date of Deposit

M-T-H  
Matt Casotto

1/14/03  
Date of Signature


Respectfully submitted,

  
\_\_\_\_\_  
Douglas A. Oguss  
Reg. No. 48,469  
Agent for Applicant

FISH & NEAVE  
1251 Avenue of the Americas  
New York, N. Y. 10020  
(650) 617-4000

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

Each item of information contained in this Supplemental Information Disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to filing of this statement.

  
\_\_\_\_\_  
Douglas A. Oguss  
Registration No. 48,469  
Agent for Applicant

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
**GTX-001**SERIAL NO.  
**09/553,695**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANTAPPLICANT  
**Marvin T. Ling**FILING DATE  
**April 21, 2000**GROUP  
**3600**

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	GROUP	FILING DATE IF APPROPRIATE
	6,236,972	05/2001	Shkedy			
	5,982,891	11/1999	Ginter et al.			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
	Wayner, Peter, <u>Digital Cash</u> , 2 <sup>nd</sup> Edition: Commerce on the Net, Chapter 22 "Magic Money:" pp. 241-248, AP Professional, London (1997).

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.